

Auditing Risk Management in Banks-Using RBIA Approach

Duration: 24 Hours / 3 days

Objectives:

- To understand risk management in simple and practical way
- To understand the crucial role of auditors in controlling and mitigating various banking risks.
- To understand the issues in implementation of RBIA in banks and how to overcome the issues
- To develop the skills to effectively audit risk management and AML frameworks.

Course contents –

- Risk based supervision approach
- Risk management in banks
- Introduction to Basel Accords
- Introduction to risk based internal audit
- Essentials of risk based internal audit
- Risk based internal audit process
- Evaluation of internal controls
- Auditing risk management in banks
- Exercise: Developing Audit Report Formats
- Exercise : Conducting RBIA at branches
- Exercise: Conducting RBIA at Treasury
- Exercise : Auditing anti-money laundering framework at bank.

Audience:

Auditors and bank officials